

Board of Directors Meeting Report – 2 May 2017

Agenda item 52/17

Title	Corporate Risk Register
Sponsoring Director	Yvonne Blucher, Managing Director
Authors	Denise Townsend, Director of Nursing Sharon Murrell, Head of Risk & Patient Safety
Purpose	To provide a summary report to the Board of Directors on the Risk Register
Executive Summary On the 13 th April 2017, there were 33 approved high rated risks on the risk register and 139 approved moderate rated risks. Four new high risks have been created and three risks merged. There are currently 5% of risks rated as high.	
Related Trust Objective	Excellent Patient Outcomes Excellent Patient Experience Engaged and Valued Staff Financial and Operational Sustainability – Financial, Operational, Estate
Related Risk	Risk 1 Failure to provide adequate patient safety and quality of care Risk 2 Poor patient experience (linked to poor patient outcomes as per Risk 1) Risk 3 Failure to meet performance targets Risk 4 Trust not being financially sustainable Risk 5 Inability to recruit and retain staff Risk 6 The ageing buildings, physical environment, associated infrastructure and inadequate backlog resources present an almost certain risk of services failing and impacting on the delivery of patient services
Legal implications / regulatory requirements	To ensure the Trust complies with legal guidance and is able to effectively identify and remedy areas of poor practice as identified through risk in order to maintain compliance with the essential standards of quality and safety.
Quality impact assessment	By recognising risk and ensuring appropriate controls are in place to both monitor and respond to those risks will improve our standards and ensure we provide the best possible experience for our patients and their families.
Equality impact assessment	As far as can be considered this paper has no detrimental impact for the 9 protected characteristics under the Equality Act 2010
Recommendations: The Board is asked to receive assurance therefrom.	

New high risks

ID	Directorate	Risk	Current score	Date added
2756	Surgery	Risk of harm to patients due to a reduced capacity in Ophthalmology waiting list initiative clinics	20	21.12.2016
1859	MSK	Failure of laminar flow with theatres 1,2, A&B if not noticed may result in an increased risk of deep surgical site infection	16	Risk upgraded 23.12.2016
1949	Medicine	Shortage of Medical Registrars and therefore a reduced medical cover over 24 hours	20	Risk upgraded 11.01.2017
2249	TCC&A	Critical Care environment not fit for purpose and creates a Health and Safety risks to patients, visitors and staff	16	Risk upgraded 14.03.2017 following Health & Smith

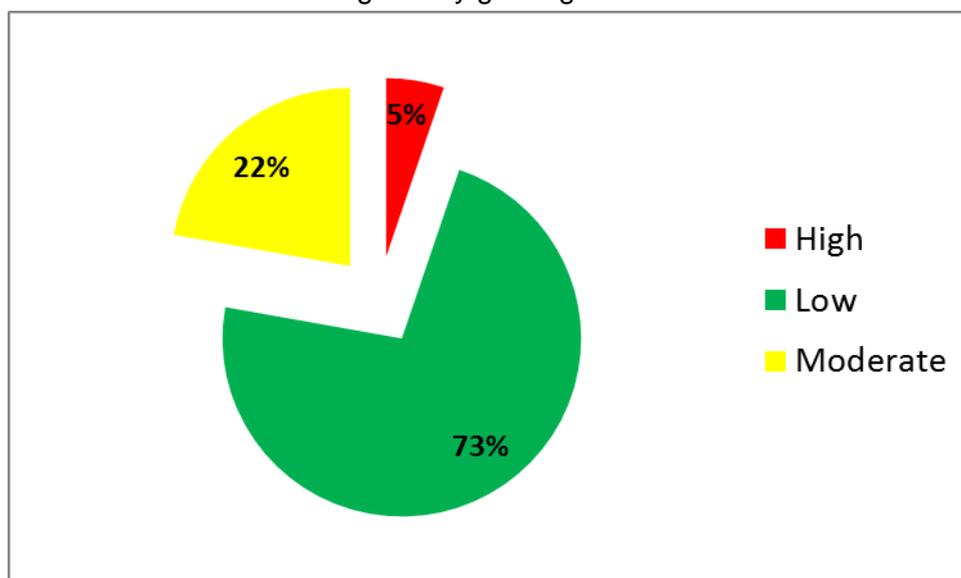
Merger of related high risks

A new overarching risk 2808 for staffing has been created 'Staffing shortages may lead to compromised care or experience for patients and failure to meet Safer Staffing Requirements' with the merging of three very similar risks covering all clinical staffing groups.

- 2736 Impact of the Success Regime may negatively affect staffing levels/ skill mix
- 2623 Risk of having insufficient substantive staff to meet Safer Staffing
- 2030 Poor patient experience and compromised safety due to staffing levels

Overview of risk Register

- Breakdown of full current risk register by grading



Essex Success Regime

A new risk group has been established to review the risk processes across the three sites and to develop an overarching Board Assurance Framework for the Joint Working Board. New common risks assessments were requested to be undertaken.